

8-1

RESOLUTION TO APPROVE
THE EXECUTION OF A CONTRACT BETWEEN
LAKOTA GROUP AND
THE SPRINGFIELD-SANGAMON COUNTY REGIONAL PLANNING COMMISSION

WHEREAS, the Springfield-Sangamon County Regional Planning Commission wishes to hire a consultant, Lakota Group to prepare a redevelopment plan for the MacArthur Boulevard Study Area;

WHEREAS, the Springfield-Sangamon County Regional Planning Commission will provide an amount not to exceed \$80,000 to Lakota Group for services rendered;

WHEREAS, funding for this contract was included in the Springfield-Sangamon County Regional Planning Commission's approved FY-2010 Budget via a grant provided by the U.S. Department of Housing and Urban Development approved by the Finance Committee on 06/09/09;

NOW THEREFORE BE IT RESOLVED that the execution of a contract between the Springfield-Sangamon County Regional Planning Commission and Lakota Group is hereby approved.

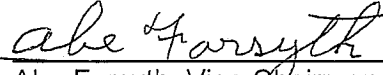
Finance Committee
12/22/2009

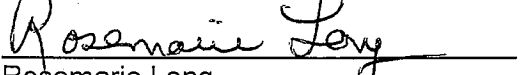
Public Health, Safety & Zoning Committee


Andy Goleman, Chairman


Tim Moore, Chairman

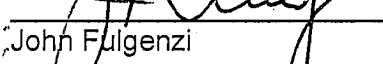

Tim Moore, Vice-Chairman



Abe Forsyth, Vice Chairman


Rosemarie Long


Don Stephens


Greg Stumpf

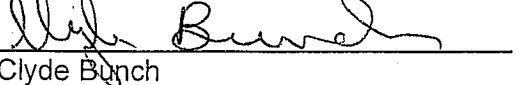

John Fulgenzi


John Fulgenzi

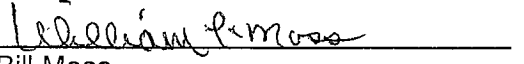
David Mendenhall


Sam Montalbano


Sam Snell

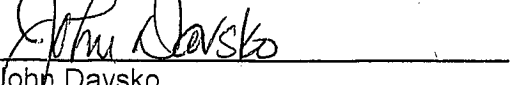

Clyde Bunch

Greg Stumpf


Bill Moss

Linda Douglas Williams


Doris Turner


John Davsko

FILED

DEC 23 2009


Sangamon County Clerk

RECEIVED

DEC 23 2009

Paul Palazzolo
SANGAMON COUNTY AUDITOR

8-2

SANGAMON COUNTY - GRANT APPROVAL FORM

Requesting Department: Regional Planning

Grant Program Title: Sangamon County Regional Planning Commission MacArthur Blvd. Area Study
This request is for: a new grant renewal or extension of an existing grant

Grantor: US Dept. of Housing and Urban Development

Brief description of the grant program and its benefits to Sangamon County:
provides the funds necessary to prepare a redevelopment plan for the macarthur blvd. corridor between i-72 and south grand ave.

Anticipated Grant Revenue Amount: \$95,000 as approved by Congress

Are matching funds required? Yes No
If Yes, please state the amount and the source of matching funds:

If this grant is approved, will any new personnel be hired? Yes No If Yes, please indicate the number and cost of personnel:
this project will entail a third-party consultant contract.

Are there any indirect costs or legal requirements associated with this grant (i.e., increased workload on existing staff, requirements to continue specific programs after grant period, etc.):
commission staff will be responsible for the contracting of work, coordination of the project and necessary federal reporting requirements. Responsibilities will end with production of the plan and report.

	Current FY	Current FY + 1	Current FY + 2
Number of Employees			
Personnel Cost (in dollars)			
Fringe Benefit Cost			
Other Costs (Equipment, etc)			
Total Cost			

Requested by: [Signature] Date: June 3, 2009
(Department Head/Signature)

Reviewed by: _____ Date: _____
Grant Administrator Signature)

FINANCE COMMITTEE APPROVAL (06/09/09)

<u>[Signature]</u> , Chairman	<u>[Signature]</u> , Member
<u>[Signature]</u> , Member	<u>[Signature]</u> , Member
<u>[Signature]</u> , Member	<u>[Signature]</u> , Member
<u>[Signature]</u> , Member	<u>[Signature]</u> , Member
	<u>[Signature]</u> , Member
	<u>[Signature]</u> , Member

RECEIVED

JUN 03 2009

Paul Palazzolo
SANGAMON COUNTY AUDITOR

8-3



U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
WASHINGTON, D.C. 20410-1000

OFFICE OF COMMUNITY PLANNING
AND DEVELOPMENT

September 17, 2009

Mr. E. Norman Sims
Executive Director
Sangamon County Regional Planning Commission
200 South Ninth Street, Room 201
Springfield, IL 62701

Dear Mr. Sims:

I am returning a signed copy of your grant agreement and the HUD 1044 for your records. Your financial documents have been sent for processing. Please allow 14 business days from the date of this letter to initiate your first drawdown. Please let me know if I can be of further assistance. I may be reached at (202) 708-3773, ext. 7037, fax (202)-708-7543, or Laura.J.Stillwell@hud.gov.

We look forward to your successful completion of the project.

Sincerely,

Laura Stillwell
Special Projects Division



8-4

FY 2009 EDI-SPECIAL PROJECT NO. B-09-SP-IL-0403

GRANT AGREEMENT

This Grant Agreement between the Department of Housing and Urban Development (HUD) and Sangamon County Regional Planning Commission (the Grantee) is made pursuant to the authority of Public Law 111-8 (Department of Housing and Urban Development Appropriations Act, 2009) and a listing of certain specific Economic Development Initiative Special Projects specified in the Committee Print of the Committee on Appropriations U.S. House of Representatives, March 2009. The Grantee's application, as may be amended by the provisions of this Grant Agreement, is hereby incorporated into this Agreement.

In reliance upon and in consideration of the mutual representations and obligations hereunder, HUD and the Grantee agree as follows:

Subject to the provisions of the Grant Agreement, HUD will make grant funds in the amount of \$95,000 available to the Grantee.

The Grantee agrees to abide by the following:

ARTICLE I. HUD Requirements.

The Grantee agrees to comply with the following requirements for which HUD has enforcement responsibility.

A. The grant funds will only be used for activities described in the application, which is incorporated by reference and made part of this Agreement as may be modified by Article VII (A) of this Grant Agreement.

B. EQUAL OPPORTUNITY REQUIREMENTS

The grant funds must be made available in accordance with the following:

1. For projects involving housing, the requirements of the Fair Housing Act (42 U.S.C. 3601-20) and implementing regulations at 24 CFR Part 100; Executive Order 11063 (Equal Opportunity in Housing) and implementing regulations at 24 CFR Part 107.
2. The requirements of Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) (Nondiscrimination in Federally Assisted Programs) and implementing regulations issued at 24 CFR Part 1.
3. The prohibitions against discrimination on the basis of age under the Age Discrimination Act of 1975 (42 U.S.C. 6101-07) and implementing regulations at 24 CFR Part 146, and the prohibitions against discrimination against handicapped individuals under section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794) and implementing regulations at 24 CFR Part 8.

4. The requirements of 24 CFR 5.105(a) regarding equal opportunity as well as the requirements of Executive Order 11246 (Equal Employment Opportunity) and the implementing regulations issued at 41 CFR Chapter 60.
5. For those grants funding construction covered by 24 CFR 135, the requirements of section 3 of the Housing and Urban Development Act of 1968, (12 U.S.C. 1701u) which requires that economic opportunities generated by certain HUD financial assistance shall, to the greatest extent feasible, be given to low- and very low-income persons and to businesses that provide economic opportunities for these persons.
6. The requirements of Executive Orders 11625 and 12432 (concerning Minority Business Enterprise), and 12138 concerning Women's Business Enterprise). Consistent with HUD's responsibilities under these Orders, the Grantee must make efforts to encourage the use of minority and women's business enterprises in connection with grant funded activities. See 24 CFR Part 85.36(e), which describes actions to be taken by the Grantee to assure that minority business enterprises and women business enterprises are used when possible in the procurement of property and services.
7. Where applicable, Grantee shall maintain records of its efforts to comply with the requirements cited in Paragraphs 5 and 6 above.

C. ENVIRONMENTAL REVIEW REQUIREMENTS.

1. If the Grantee is a unit of general local government, a State, an Indian Tribe, or an Alaskan Native Village, the Grantee agrees to assume all of the responsibilities for environmental review and decision-making and actions, as specified and required in regulations issued by the Secretary pursuant to the Multifamily Housing Property Disposition Reform Act of 1994 and published in 24 CFR Part 58.
2. If the Grantee is a housing authority, redevelopment agency, academic institution, hospital, or other non-profit organization, the Grantee shall request the unit of general local government, Indian Tribe, or Alaskan Native Village, within which the project is located and which exercises land use responsibility, to assume all of the responsibilities for environmental review and decision-making as specified in paragraph C.1 above, and the Grantee shall carry out all of the responsibilities of a recipient under 24 CFR Part 58.

- D. Administrative requirements of OMB Circular A-133 "Audits of States, Local governments and Non-Profit Organizations."
- E. For State and Local Governments, the Administrative requirements of 24 CFR Part 85, including the procurement requirements of 24 CFR Part 85.36, and the requirements of OMB Circular A-87 regarding Cost Principles for State and Local Governments. For Non-Profits, the Administrative requirements of 24 CFR Part 84, including the procurement requirements of 24 CFR Part 84.40, and OMB Circular A-122 regarding Cost Principles for Non-Profit Institutions. For Institutions of Higher Education the applicable OMB Circular regarding Cost Principles is A-21.
- F. The regulations at 24 CFR Part 87, related to lobbying, including the requirement that the Grantee obtain certifications and disclosures from all covered persons.
- G. The regulations at 24 CFR Part 21, regarding requirements for Drug- Free Workplace.
- H. The Uniform Relocation Act as implemented by regulations at 49 CFR Part 24.
- I. The Grantee will comply with all accessibility requirements under section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794) and implementing regulations at 24 CFR Part 8, where applicable.
- J. The regulations at 24 CFR Part 35, where applicable, regarding Lead-Based Paint Poisoning Prevention in Certain Residential Structures.
- K. The regulations at 24 CFR Part 5.109, where applicable, regarding Equal Participation of Religious Organizations in HUD Programs and Activities.

ARTICLE II. Conditions Precedent to Draw Down.

The Grantee may not draw down grant funds until the following actions have taken place:

- A. The Grantee has received and approved any certifications and disclosures required by 24 CFR 87.100 concerning lobbying.
- B. Any other conditions listed in Article VII (C) of this Grant Agreement.

ARTICLE III. Draw Downs.

- A. A request by the Grantee to draw down grant funds under the Voice Response Access system or any other payment system constitutes a representation by the Grantee that it and all participating parties are complying with the terms of this Grant Agreement.

- B. The Grantee will be paid on an advance basis provided that the Grantee minimizes the time elapsing between transfer of the grant funds and disbursement for project purposes and otherwise follows the requirements of 24 CFR Part 85 or Part 84 and Treasury Circular 1075 (31 CFR Part 205).
- C. Before the Grant Agreement is signed, the Grantee may incur cost for activities which are exempt from environmental review under 24 CFR Part 58 and may charge the costs to the grant.

ARTICLE IV. Progress Reports.

- A. The Grantee shall submit to the Grant Officer a progress report every six months after the effective date of the Grant Agreement. Progress reports shall consist of (1) a narrative of work accomplished during the reporting period and (2) a completed Financial Status Report - Form 269 A.

HUD may require additional information or increased frequency of reporting as described in Article VII (C).

- B. The performance reports must contain the information required under 24 CFR Part 85.40(b) (2) or 24 CFR Part 84.51(a), as applicable including a comparison of actual accomplishment to the objectives indicated in the approved application, the reasons for slippage if established objectives were not met, and additional pertinent information including explanation of significant cost overruns.
- C. No grant drawdowns will be approved for projects with overdue progress reports.

ARTICLE V. Project Close-out.

- A. The grantee shall submit to the Grant Officer a written request to close-out the grant 30 days after the grantee has drawn down all funds and completed the activities described in the application, as may be amended. The final report shall consist of (1) a narrative of all work accomplished during the project period and (2) a completed Financial Status Report - Form 269 A covering the entire project period.

HUD will then send the Close-out Agreement and Close-out Certification to the Grantee. At HUD's option, the Grantee may delay initiation of project close-out until the resolution of any HUD monitoring findings. If HUD exercises this option the Grantee must promptly resolve the findings.

- B. The Grantee recognizes that the close-out process may entail a review by HUD to determine compliance with the Grant Agreement by the Grantee and all participating parties. The Grantee agrees to cooperate with any review in any way possible, including making available records requested by HUD and the project for on-site HUD inspection.

C. The Grantee shall provide to HUD the following documentation:

- 1. A Certification of Project Completion.
- 2. A Grant Close-out Agreement.
- 3. A final financial report giving the amount and types of project costs charged to the grant (that meet the allowability and allocability requirements of OMB Circular A-122, A-87 or A-21 as applicable, including the "necessary and reasonable" standard); a certification of the costs; and the amounts and sources of other project funds.
- 4. A final performance report providing a comparison of actual accomplishments with each of the project commitments and objectives in the approved application, the reasons for slippage if established objectives were not met and additional pertinent information including explanation of significant cost overruns.

D. The Grantee agrees that the grant funds are allowable only to the extent that the project costs, meeting the standard of OMB Circular A-122, A-87 or A-21 as applicable, equal the grant amount plus other sources of project funds provided.

E. When HUD has determined that the grant funds are allowable, the activities were completed as described by the Grant Agreement, and all Federal requirements were satisfied, HUD and the Grantee will sign the Close-out Agreement and Close-out Certificate.

F. The Close-out Agreement will include the Grantee's Agreement to abide by any continuing federal requirements.

ARTICLE VI. Default.

A default under this Grant Agreement shall consist of using grant funds for a purpose other than as authorized by this Agreement, any noncompliance with legislative, regulatory, or other requirements applicable to the Agreement, any other material breach of this Agreement, or any material misrepresentation in the application submissions.

ARTICLE VII. Additional Provisions.

A. Project Description. The project is as described in the application with the following changes:

B. Changes or Clarification to the Application Related to Participating Parties:
The Administrative Agent if any:

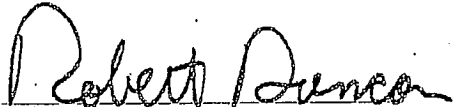
C. Special Conditions:

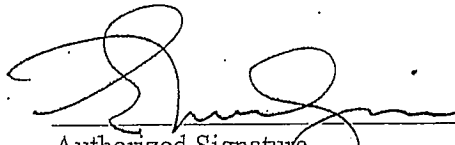
The 2009 HUD Appropriations Act provides that no funds made available under the Act may be used to support any Federal, State or local projects that seek to use the power of eminent domain, unless eminent domain is employed only for a public use. For purposes of this provision, public use shall not be construed to include economic development that primarily benefits private entities.

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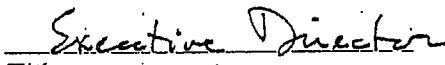
U.S. Department of Housing
and Urban Development

Sangamon County Regional
Planning Commission
Mr. E. Norman Sims


Authorized Signature


Authorized Signature

Robert Duncan
Associate Deputy Assistant Secretary
for Economic Development


Executive Director
Title

9/11/2009
Date

8/14/2009
Date

8-10

Assistance Award/Amendment **U.S. Department of Housing and Urban Development**
Office of Administration

1. Assistance Instrument 2. Type of Action
 Cooperative Agreement Grant Award Amendment

3. Instrument Number 4. Amendment Number 5. Effective Date of this Action 6. Control Number
 B-09-SP-IL-0403

7. Name and Address of Recipient
 Sangamon County Regional Planning Commission
 200 South Ninth Street, Room 201
 Springfield, IL 62701
 EIN: 37-6002039

8. HUD Administering Office
 CPD, EDI Special Project Division
 451 7th Street, SW, Rm 7146
 Washington, DC 20410-7000

8a. Name of Administrator 8b. Telephone Number

10. Recipient Project Manager 9. HUD Government Technical Representative
 E. Norman Sims Laura Stillwell 202-402-7037

11. Assistance Arrangement 12. Payment Method 13. HUD Payment Office
 Cost Reimbursement Treasury Check Reimbursement Chief Financial Officer
 Cost Sharing Advance Check
 Fixed Price Automated Clearinghouse

14. Assistance Amount		15. HUD Accounting and Appropriation Data	
Previous HUD Amount		15a. Appropriation Number	15b. Reservation Number EID 09
HUD Amount this Action	\$95,000.00	Amount Previously Obligated	
Total HUD Amount	\$95,000.00	Obligation by this Action	\$95,000.00
Recipient Amount		Total Obligation	\$95,000.00
Total Instrument Amount	\$95,000.00		

16. Description
 Sangamon County Regional Planning Commission Macarthur Blvd. Area Study, Springfield, IL for a redevelopment plan for Macarthur Blvd. between I-172 and S. Grand

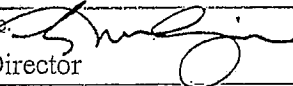

This Award consists of the following items which are appended to and hereby made part of this Award:

(A) Cover Page - HUD 1044
 (B) Grant Agreement

Special Conditions:
 Please contact Steve Vahl - HUD Area Environmental Officer at 312-353-1696, Steve_Vahl@hud.gov concerning environmental review. **NO FUNDS** may be committed to the project or drawn down prior to environmental release of funds approval.

17. Recipient is required to sign and return three (3) copies of this document to the HUD Administering Office. 18. Recipient is not required to sign this document.

19. Recipient (By Name): 20. HUD (By Name):
 Mr. E. Norman Sims Robert Duncan

Signature & Title:  Date: 8/14/09 Signature and Title:  Date: 9/11/2009
 Executive Director ADAS for Economic Development